

Herefordshire Council 2016-17 Audit Plan Progress

Directorate/Service	Audit Type	Audit Name	Quarter	Status	Opinion	No. of recommendations					
						Total	1	2	3	4	5
*Finance	Grant Certification	Heat Network Delivery Units	April 2016	Audit may be removed							
*Finance	Special Review	Link Road Scheme (LEP & HC)	April 2016	Completed	Non-Opinion	1			1		
*Finance	Grant Certification	Redundant Building Grant Scheme - Round 4	April 2016	Completed	Grant Certification	-	-	-	-	-	-
*Adult care services	Operational	Brokerage	April 2016	In progress							
*Adult care services	Operational	Client Finances	April 2016	Discussion Document							
*Finance	Operational	Car Parking Income/Enforcement	April 2016	Draft Report							
*Finance	Operational	Cash Handling & Collection - Corporate	April 2016	Discussion Document							
*Human resources	Operational	Recruitment - Contracts	April 2016	In Progress							
*Information and communication technology	Operational	FWI EDRMS/Mosaic Upgrade - Implementation & Assurance -	April 2016	In Progress							
*Education and skills	School	SFVS (School Financial Value Standard) Governance	April 2016	Draft Report							
*Finance	Special Investigation	Special Investigation	April 2016	In Progress							
*Information management	Follow Up	Modern Records	July 2016	Not Started							
*Finance	Grant Certification	Local Transport Block Funding	July 2016	Initial meeting							
*Adult care services	Operational	Residential & Nursing Care	July 2016	Not Started							
*Adult care services	Operational	Deferred Payments	July 2016	Not Started							
*Adult care services	Operational	Pre Paid Cards (Direct Payments)	July 2016	Not Started							
*Adult care services	Operational	Public Health Contracts	July 2016	Not Started							
*Children and families services	Operational	Children Missing Education (Safeguarding)	July 2016	Not Started							
*Consumer affairs	Operational	Food Safety	July 2016	Not Started							
*Finance	Operational	Property Services - Accounts Payable	July 2016	In Progress							
*Human resources	Operational	Use of Agency	July 2016	Not Started							
*Information and communication technology	Operational	Protection from Malicious Code	July 2016	In Progress							
*Management	Operational	Performance Management Framework - Performance Indicators Corporate	July 2016	In Progress							
*Planning and building control	Operational	S106 Agreements	July 2016	Not Started							
*Transport and infrastructure	Operational	Concessionary Fares	July 2016	In Progress							
*Consumer affairs	Follow Up	Licensing	October 2016	Not Started							
*Finance	Follow Up	Treasury Management	October 2016	Not Started							

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*Information and communication technology	Follow Up	Changes to Role & Exit - ICT Access Controls	October 2016	Not Started							
*Transport and infrastructure	Follow Up	Home to School Transport	October 2016	Not Started							
*Finance	Governance, Fraud & Corruption	NFI	October 2016	In progress							
*Finance	Grant Certification	Troubled Families	October 2016	Discussion Document							
*Finance	Key Control	Accounts Payable	October 2016	Not Started							
*Finance	Key Control	Accounts Receivable	October 2016	Not Started							
*Finance	Key Control	Capital Accounting	October 2016	Not Started							
*Finance	Key Control	Main Accounting	October 2016	Not Started							
*Finance	Key Control	Council Tax	October 2016	Not Started							
*Finance	Key Control	Housing & Council Tax Benefits	October 2016	Not Started							
*Finance	Key Control	NNDR	October 2016	Not Started							
*Finance	Key Control	Payroll	October 2016	Not Started							
*Finance	Key Control	Pensions - Auto enrolment	October 2016	Not Started							
*Information and communication technology	Operational	Public Services Network Submission Assurance	October 2016	Not Started							
*Adult care services	Follow Up	Financial Assessments	January 2017	Not Started							
*Adult care services	Operational	Better Care Fund	January 2017	Not Started							
*Adult care services	Operational	Contracts & Contract Management	January 2017	Not Started							
*Adult care services	Operational	Hospital Discharges	January 2017	Not Started							
*Adult care services	Operational	Telecare - Living Aids & Equipment	January 2017	Not Started							
*Adult care services	Operational	Transitions	January 2017	Not Started							
*Adult care services	Operational	Complex Care (LD)	January 2017	Not Started							
*Children and families services	Operational	Looked After Children	January 2017	Not Started							
*Children and families services	Operational	Early Years Funding	January 2017	Not Started							
*Children and families services	Operational	Direct Payment/Personal Budgets (Children's) - HC	January 2017	Not Started							
*Information and communication technology	Operational	Business Continuity/Disaster Recovery Processes - Data Centre	January 2017	Not Started							
*Procurement	Operational	Nottingham Rehab Contract Review	January 2017	Not Started							